



July 18, 2013

The Honorable Jim Pitts, Chair
Texas House Committee on Appropriations
P. O. Box 2910
Austin, Texas 78768

Dear Chairman Pitts,

We are pleased to submit our Third Quarter Self-Directed, Semi-Independent (SDSI) report for Fiscal Year 2013. During the past several months, TBAE staff has worked on many large scale projects. We are pleased to include the following quarterly report, which includes our newly developed performance measures.

Please feel free to contact me at (512) 305-9000 or via e-mail at cathy.hendricks@tbae.state.tx.us if you have any questions about the information contained in the report.

Sincerely,

A handwritten signature in black ink that reads "Cathy L. Hendricks".

Cathy L. Hendricks, RID/ASID/IIDA
Executive Director

Enclosures

cc: Board Members, Texas Board of Architectural Examiners
Mr. Dallas Reed, Governor's Advisor, Office of the Governor
Mr. Rob Orr, Budget Analyst, Senate Finance Committee
Mr. Clifford Sparks, Jr., Legislative Budget Board
Mr. Lance Kinney, P.E., Executive Director, Texas Board of Professional Engineers
Mr. Bill Treacy, Executive Director, Texas State Board of Public Accountancy



TEXAS Board of
Architectural Examiners

Architects ▪ Interior Designers ▪ Landscape Architects

Fiscal Year 2013 Quarterly Report on the
Self-Directed, Semi-Independent (SDSI) Agency
Project

Third Quarter
March–May 2013

Report submitted July, 2013

**Texas Board of Architectural Examiners
FY 2013 Third Quarter Report on the
Self-Directed, Semi-Independent (SDSI) Agency Project**

Progress Report

The Texas Board of Architectural Examiners (TBAE) is pleased to submit this report of our progress in improving overall agency performance under the SDSI pilot project.

1. The agency undertook and unveiled a thorough overhaul of its antiquated Business Registration program, including a simple online interface and new features for registrants such as social media links and more. The Business Registration roster continues to grow, and we expect even quicker growth in the upcoming quarter after aggressive promotion of the program.
2. The agency began the process of implementing various legislative changes from the 83rd Regular Session. Of particular note are two major changes: a requirement for fingerprint-based criminal history checks of agency registrants, and a new test-passing requirement that affects approximately 1,800 so-called “grandfathered” Registered Interior Designers. The agency is working closely with all affected stakeholders in each of these major initiatives and plans clear and aggressive outreach regarding the changes in the upcoming quarter.
3. Agency staff reached more than 240 registrants and other interested stakeholders via five separate presentations during Q3. Attendees included registrants, students, and building officials.

Performance Measures SDSI Quarterly Report



Fiscal Year	Fiscal Quarter	Number of Registrants	Number of Exam Candidates	Cases opened during quarter	Cases closed during quarter	Recidivism rate
2012						
	3	17,898	2248	49	44	1.10%
	4	17,926	2419	32	19	1.17%
2013						
	1	17,953	1877	46	35	2.54%
	2	17,946	2038	40	43	3.23%
	3	17,969	2248	33	12	3.03%

Explanatory notes:

- The "Number of Registrants" performance measure reported here fulfills the statutory requirement to report on the number of "licensees... [and] certificate holders." The agency does not distinguish between "licensees" and "certificate holders," choosing instead to call them simply "registrants."
- The Performance Measures reported herein were thoroughly redeveloped and validated in 2011 and 2012, and will be used to fulfill all statutory and customary reporting requirements (at the recommendation of the State Auditor's Office).

Texas Board of Architectural Examiners

Fiscal Year 2013, Quarters One, Two, and Three

	FY 2013 Budget	Sept 1, 2012--- May 31, 2012	Percentage
Revenues:			
Licenses & Fees	\$2,891,635	\$1,990,598	69%
Enforcement Penalties	\$75,000	\$49,927	67%
Other	\$5,000	\$4,052	81%
Interest	\$7,500	\$2,205	29%
Total Revenues	\$2,979,135	\$2,046,782	69%
Expenditures:			
Salaries and Wages	\$1,523,700	\$1,011,768	66%
Payroll Related Costs	\$392,220	\$277,770	71%
Professional Fees & Services	\$35,060	\$32,692	93%
Travel	\$65,000	\$36,138	56%
Materials and Supplies	\$30,000	\$24,397	81%
Communication and Utilities	\$17,500	\$11,142	64%
Repairs and Maintenance	\$1,500	\$876	58%
Rentals and Leases	\$60,910	\$11,024	18%
Printing and Reproduction	\$7,000	\$6,921	99%
Other Expenditures	\$290,610	\$193,926	67%
Capital Outlay	\$45,635	\$22,240	49%
Transfer Out, Article 8930b, Encumbered	\$510,000	\$382,500	
Total Expenditures	\$2,979,135	\$2,011,393	68%
Excess/(Deficiency) of Rev over Exp.		\$35,389	